



13281 U.S. PTO

REQUEST FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. §1.53(b)
Attorney Docket: 056207.50948C1

22278 U.S. PTO
10/823552



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION

April 14, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a request for filing a X continuation or ____ divisional application under 37 C.F.R. 1.53(b), of pending prior application:

Serial No. 10/192,317

Filed on July 11, 2002

Of Soshiro KUZUNUKI et al

Entitled: SPEECH INPUT SYSTEM, SPEECH PORTAL SERVER, AND
SPEECH INPUT TERMINAL

Examiner: Abul K. Azad

Group: 2654

- X 1. Accompanying this order is a new specification that does not contain any subject matter that would have been new matter in the prior application.
Total pages of specification, claims and abstract: 1-38
- X 2. New Formal drawings are being filed herewith consisting of Nineteen (19) sheets, depicting Figures 1-28.
- X 3. Declaration and Power of Attorney:
- a. ____ Newly executed (original or copy)
- b. X Copy from prior application
- i. ____ Deletion of Inventors - signed statement attached deleting inventor(s) named in the prior application
- X 4. Incorporation by Reference:
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. Small entity status:
- a. ☐ A small entity statement is enclosed
- b. ☐ A statement of small entity status (copy attached) was filed on ☐ in the prior application and status as a small entity is still proper and desired.
- c. ☐ Is no longer claimed
- X 6. The filing fee is calculated below:

**CLAIMS AS FILED, INCLUDING ANY CLAIMS
CANCELLED OR ADDED BY PRELIMINARY AMENDMENT**

| | | | | | |
|--------------|---------------------------------------------|---|-------------------------------------|-----------------------------------|----------------------------------|
| Basic Fee | | | | <u>\$385</u> | <u>\$770</u> |
| Total Claims | <u>1</u> | - | <u>20</u> = <u> </u> x | <u>9</u> = \$ <u> </u> | <u>18</u> = \$ <u> </u> |
| Ind. Claims | <u>1</u> | - | <u>3</u> = <u> </u> x | <u>43</u> = \$ <u> </u> | <u>86</u> = \$ <u> </u> |
| | <u> </u> Multiple Dependent Claims | | + <u>145</u> = \$ <u> </u> | <u>290</u> = \$ <u> </u> | |
| | | | Total | \$ <u> </u> | <u>\$770.00</u> |

7. Please charge my Deposit Account No. 05-1323 (Docket #) in the amount of \$.
- X 8. A check in the amount of \$770.00 to cover the filing fee is enclosed.
- X 9. The Commissioner is authorized to charge any fee which may be required under 37 CFR 1.16 or 37 CFR 1.17 or credit any overpayment to Deposit Account No. 05-1323 (Docket #056207.50948C1).
- X 10. Amend the specification by inserting before the first line the sentence: --This application is a continuation of application Serial No. 10/192,317, filed July 11, 2002, which is a continuation of application Serial No. 10/083,636, filed February 27, 2002.--
- X 11. Priority of Appln. No. 2001-306787, filed in Japan on October 2, 2001, is hereby claimed under 35 U.S.C. 119.
- X 12. A certified copy of said priority document was filed in application Serial No. 10/083,636 on February 27, 2002.
- X 13. The prior application is assigned of record to Hitachi, Ltd..
- X 14. The power of attorney in the prior application is to:

Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169.

- ☒ a. The power appears in the original application papers in the prior application.
- ☐ b. Since the power does not appear in the original application papers, a copy of the power in the prior application is enclosed.
- ☐ c. Attached is a duplicate of a Supplemental Declaration which was filed in the prior application to overcome informalities.
- ☒ d. Address all future correspondence to:

CROWELL & MORING, LLP
Intellectual Property Group
P.O. Box 14300
Washington, DC 20044-4300

Customer No.

23911

- ☒ 15. Copies of Forms PTO-892 and PTO-1449 listing prior art made of record in the prior application are attached. A copy of each of the listed references should be available in the prior application file.
- ☒ 16. Return Receipt Postcard.
- ☒ 17. Other: Application Data Sheet

Respectfully submitted,



Gary R. Edwards
Registration No. 31,824

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

Complete if Known

Application Number
Filing Date April 14, 2004
First Named Inventor SOSHIRO KUZUNUKI
Examiner Name Abul K. Azad
Art Unit 2654
Attorney Docket No. 056207.50948C1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number 05-1323
Deposit Account Name Crowell & Moring LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Charge any deficiency or credit any overpayments to the deposit account of the undersigned. Attorney Docket No. 056207.50948C1☐ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | 770 |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | 770 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 1 | -20** = | x | = |
| Indep. Claims | 1 | -3** = | x |
| Multiple Dependent | | | = |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|------------------------------------------------------------|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) \$ 770.00 | | |

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|----------------------------------------------------------------------------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet |
| 1053 130 | 1053 130 | Non-English specification |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examination action |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action |
| 1251 110 | 2251 55 | Extension for reply within first month |
| 1252 420 | 2252 210 | Extension for reply within second month |
| 1253 950 | 2253 475 | Extension for reply within third month |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month |
| 1401 330 | 2401 165 | Notice of Appeal |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal |
| 1403 290 | 2403 145 | Request for oral hearing |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding |
| 1452 110 | 2452 55 | Petition to revive - unavoidable |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) |
| 1502 480 | 2502 240 | Design issue fee |
| 1503 640 | 2503 320 | Plant issue fee |
| 1406 130 | 1460 130 | Petitions to the Commissioner |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) |
| 1802 900 | 1802 900 | Request for expedited examination of a design application |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Gary R. Edwards Registration No. (Attorney/Agent) 31,824 Telephone 202-624-2500
Signature [Signature] Date April 14, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.